Serial No.

Docket No. 130497-1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant:  | Sergio Martins Loureiro et al. | : | Group Art Unit: |
|-------------|--------------------------------|---|-----------------|
| Serial No.: |                                | : | Examiner:       |
| Filed:      |                                | : |                 |

For HIGH TEMPERATURE NANOCOMPOSITE AND METHOD OF MAKING

"EXPRESS MAIL" MAILING LABEL NUMBER EV 300766784US

## INFORMATION DISCLOSURE STATEMENT

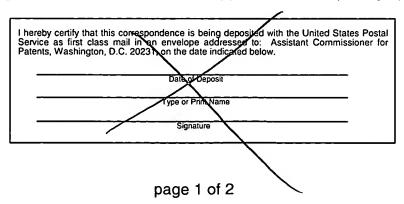
| X | 1 | Pursuant to 37CFR 1.97(b)   |
|---|---|---|
|   |   | [within 3 months of national, non-CPA filling or prior to 1st Office Action] no charge  |
|   | 2 | Certification Pursuant to 37 CFR 1.97(c)(1)   |
|   |   | [before Final Office Action, Allowance, or other action closing prosecution] no charge  |
|   | 3 | Fee Payment Pursuant to 37 CFR 1.97(c)(2) [before Final Office Action, Allowance, or other action closing prosecution] \$180.00 |
|   | 4 | Certification & Fee Payment Pursuant to 37 CFR 1.97(d) [On or before issue fee payment] \$180.00                                |
|   |   |   |

ATTN: BOX PATENT APPLICATION Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.



| This paper is submitted in  | accordance with:  |  |
|---|---|--|
|   | , , <del>,</del>  | s of national, non-CPA filing or prior to 1st Office   |
| <u>—</u>  | R 1.97(c): [before Final Of g prosecution, whichever is   | fice Action, Allowance, or other action earlier]; and  |
| □ 8   | The required Certification r  | nade in item 11 below;   |
|   | · ·   | in 37 CFR 1.17(p) for submission of Statement is authorized in item 15 below.  |
| ☐ 10 37 CF  | R 1.97(d): [on or before iss  | sue fee payment]; and  |
| a)  | The required Certification is   | s stated in item 11 below; and   |
|   |   | in 37 CFR 1.17(p) for submission of Statement is authorized in item 14 below.  |
| ☐ 11 Certifi  | cation  |  |
| <u> </u>  | any communication from  | ontained in this Statement was first cited in a foreign patent office in a counterpart not more than three months prior to the   |
| □ 13  | from a foreign patent office<br>to the knowledge of the per<br>reasonable inquiry, no<br>Statement was known to | Statement was cited in a communication in a counterpart foreign application and, erson signing this document after making item of information contained in this any individual designated in 37 CFR 3) months prior to the filing date of this |
| 🔀 14 Paym   | ent of all applicable fees:   |  |
| ∑ 15  | =   | ble fees associated with the submittal of e Statement to Deposit Account No.   |
| An original and two (2) co  | pies of this document are e   | nclosed.   |
|   |   | Respectfully submitted,  |
| November 20   | ), 2003   | Wolet Hantundo-  |
| Date  |   | Attorney - Robert P. Santandrea  |
| General Electric Company<br>CRD Pat nt Docket Rm 4A5<br>P.O. Box 8, Bldg. K-1<br>Schenectady, New York 123<br>Customer Number: 006147 |   | Registration No. 45,072<br>Phone: (518) 387-6304<br>or (518) 387-7122  |

| FORM PTO-1449<br>(REV. 7-80)  |     |                   |                 | S. DEPARTMENT OF COMMERCE<br>ATENT AND TRADEMARK OFFICE | ATTY. DOCKET NO. 130497-1                |                  | SERIAL NO.          |  |
|---|-----|-------------------|-----------------|---|--|------------------|---------------------|--|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT<br><u>LIST OF ITEMS</u> |     |                   |                 |   | Applicant Sergio Martins Loureiro et al. |                  |                     |  |
|   |     |                   |                 | Filing Date   |  | Group            |                     |  |
|   |     |                   | U.S             | . PATENT DOCUMENTS                                      | <del></del>                              |                  |                     |  |
| *EXAMINER   | Γ   |                   |                 | <del></del>   |  | <u> </u>         | FILING DATE         |  |
| INITIAL   |     | OCUMENT<br>NUMBER | DATE            | NAME  | CLASS                                    | SUBCLASS         | IF APPROPRIATE      |  |
|   | Al  |                   |                 |   |  |                  |                     |  |
|   | A2  |                   |                 |   |  |                  |                     |  |
|   | A3  |                   |                 |   |  |                  |                     |  |
|   | A4  |                   |                 |   |  |                  |                     |  |
|   | A5  |                   |                 |   |  | -                |                     |  |
|   | A6  |                   |                 |   |  |                  |                     |  |
|   | A7  |                   |                 |   |  |                  |                     |  |
|   | A8  |                   |                 |   |  |                  |                     |  |
|   | A9  |                   |                 |   |  |                  |                     |  |
|   | A10 |                   | <del></del>     |   |  |                  | ·                   |  |
|   | A11 |                   |                 |   |  |                  |                     |  |
| , , , , , , , , , , , , , , , , , , ,                                 | A12 | -                 |                 |   | -  |                  |                     |  |
|   | A13 | 1                 |                 |   |  |                  |                     |  |
|   | A14 |                   | <del> </del>    |   |  |                  |                     |  |
|   | A15 |                   |                 |   |  |                  |                     |  |
|   | A16 | ·                 |                 | -   |  |                  |                     |  |
|   | A17 |                   |                 |   |  |                  |                     |  |
|   | A17 |                   |                 |   |  |                  |                     |  |
|   | A19 |                   |                 |   | •  |                  |                     |  |
|   | A20 |                   | <del> </del>    |   |  |                  |                     |  |
|   | A21 |                   |                 |   |  |                  |                     |  |
|   | A22 |                   |                 |   |  |                  |                     |  |
|   | A23 |                   |                 |   |  |                  |                     |  |
|   |     |                   | FORE            | GN PATENT DOCUMENTS                                     |  |                  |                     |  |
|   |     | DOCUMENT          |                 |   |  |                  | TRANSLATION         |  |
|   |     | NUMBER            | DATE            | COUNTRY   | CLASS                                    | SUBCLASS         | YES NO              |  |
|   | Bl  | <u> </u>          |                 |   |  |                  |                     |  |
|   | Cl  | Peter T. Tanev    | et al., "A Neur | ral Templating Route to Mesopo                          | rous Molec                               | cular Sieves", S | cience, Volume 267, |  |
|   |     | pp. 865-867, Fe   | ebruary 10, 19  | 95.   |  |                  |                     |  |
|   | C2  |                   |                 | ,   |  |                  |                     |  |
|   | C3  |                   |                 |   |  |                  |                     |  |
|   |     |                   |                 |   |  |                  |                     |  |
| EXAMINER  |     |                   |                 |   | DATE CONSIDERED                          |                  |                     |  |
|   |     |                   |                 | r not citation is in conformance v                      |  |                  | through citation if |  |